TO: Executive Secretary

FROM: Celia Van Gorder
Supervisory Counsel, Legal Division, Complex Financial Institutions Section

SUBJECT: Meeting at the Request of the Bank Policy Institute, American Bankers Association and Securities Industry and Financial Markets Association to Discuss the Notice of Proposed Rulemaking Regarding Resolution Plan Rule


Representatives of the associations stated that generally they are supportive of the proposal, and added that they are hopeful it will be finalized quickly. Representatives of the associations and certain of the financial firms also noted certain issues described in the associations’ May 1, 2019 and June 21, 2019 letters commenting on the proposal. In particular, these individuals stressed the importance to plan filers of having certainty about plan contents no less than one year prior to the plan submission date. Agency staff acknowledged the statements expressed.

A list of participants appears below:

FDIC
- Alexandra Barrage, Dena Kessler, Lori Quigley, Celia Van Gorder, David Wall

FRB
- Katie Ballintine, Steve Bowne, Catherine Tilford, Tudor Rus, Jay Schwarz

New York Fed
- Kristin Malcarney

BPI, ABA, SIFMA, financial firms
- Please see attached

July 30, 2019
165(d) Teleconference Attendees

Bank Representatives:
Lawrence Nesbit, Huntington
Paul Jaremski, VP, Resolution & Recovery Planning, Huntington
Eric Thompson, VP & Counsel, State Street
Matthew Tropp, Managing Director, Legal Department, Goldman Sachs
Ethan Arrow, Goldman Sachs
Jeffrey Conover, SVP, Capital and Resolution Planning, Northern Trust
Robert Krebs, Ass’t General Counsel, Northern Trust
Lori Brunell, Senior Enterprise Risk Director, Ally
Ana Volpi, SVP, Director, Finance Strategic Delivery Office, Citizens
Dennis Smith, Citizens
Andrew Kales, TD
Paul Antonellis, TD
Sharon Hamilton, SVP, CCAR Director, BBVA
Adina Brownstein, Managing Director, Americas Recovery and Resolution Planning, Barclays
Kara Barry, Barclays
Janet Farrow, UBS
Timothy Roberti, UBS
Daryl DiFazio, UBS
Erin Johnston, SVP, Global Recovery and Resolution Planning, Bank of America
Adam Shearer, Global Recovery and Resolution Executive, Bank of America
Priya Bindra, Morgan Stanley
Richard Bean, Wells Fargo
Tim Becker, Head of Enterprise Finance Public Policy, Wells Fargo
Jennifer Knox, Wells Fargo
Jarryd Anderson, Wells Fargo
Kieran Fallon, PNC
Jason Fincke, Ass’t General Counsel, Legal Regulatory Group, U.S. Bank
Karla Menzel, U.S. Bank
Drew DiVall, U.S. Bank
Kayla J. Crews, Head of RRP, Regions
Coby Kutcher, Senior Analyst, Regions
Elizabeth Taylor, SVP & Assistant General Counsel, Head of Regulatory Policy, Regions
Gideon Hart, Senior Counsel, KeyBank
Michael Coffee, VP, Senior Legal Counsel, HSBC
Joan DaPonte, Assistant General Counsel, MUFG
Irene Dlugopolsky, Resolution Planning, MUFG
Anne Hwang, Resolution Planning, MUFG
John Dare, Managing Director, JP Morgan
Robert Kidd, Capital One
Krish Subramanian, Capital One
Jeff Wool, Associate General Counsel, Head of Recovery and Resolution Planning Legal, Citi
Trade Staff & Counsel:
John Court, General Counsel, BPI
Annie Rebbe, AVP, BPI
Hu Benton, VP, Banking Policy, ABA
Carter McDowell, Managing Director, Associate General Counsel, SIFMA
Meg Tahyar, Partner, Davis Polk
Daniel Newman, Counsel, Davis Polk
Kirill Lebedev, Associate, Davis Polk
Sumeet Sanjeev Shroff, Associate, Davis Polk
Kate Somers, Summer Associate, Davis Polk
Larenz DeVaren, Summer Associate, Davis Polk
Ben Weiner, Partner, Sullivan & Cromwell