



BURDEN STATEMENT

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INSTRUCTIONS: Please respond to the following questions regarding your recent Safety and Soundness examination from the FDIC. Completing the questions will help us evaluate and identify ways to improve the quality and efficiency of our examination process. Your responses will be kept confidential.

SAMPLE

1. Pre-examination Process

- | | Strongly disagree | Somewhat disagree | Neither agree nor disagree | Somewhat agree | Strongly agree |
|--|--------------------------|--------------------------|----------------------------|--------------------------|--------------------------|
| a. The lead-time was sufficient to gather the information requested prior to the onsite examination. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b. The pre-examination requests were reasonable in scope. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| c. Materials requested in the pre-examination were used during the examination. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| d. The pre-examination request made the examination run more efficiently. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| e. Recommendations to improve the pre-examination process: | | | | | |

2. Examiners

- | | Strongly disagree | Somewhat disagree | Neither agree nor disagree | Somewhat agree | Strongly agree |
|--|--------------------------|--------------------------|----------------------------|--------------------------|--------------------------|
| a. The examiners described the examination's scope and objectives effectively. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b. The examiners were knowledgeable about your institution. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| c. The examiners demonstrated a thorough understanding of safety and soundness issues. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| d. The examiners were responsive to your questions and concerns. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

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|--|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| e. The examiners communicated effectively with your management throughout the examination. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| f. The examiners treated you professionally. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| g. Recommendations regarding the examiners: | | | | | |
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3. Examination Process

- | | Strongly disagree | Somewhat disagree | Neither agree nor disagree | Somewhat agree | Strongly agree |
|---|--------------------------|--------------------------|----------------------------|--------------------------|--------------------------|
| a. All major findings of the examination were discussed with you prior to the examiners leaving your institution. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b. Senior management officials were given the opportunity to react to the examination findings. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| c. The examination was completed in a reasonable timeframe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| d. Recommendations to improve the examination process: | | | | | |
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4. Examination Report

- | | Strongly disagree | Somewhat disagree | Neither agree nor disagree | Somewhat agree | Strongly agree |
|--|--------------------------|--------------------------|----------------------------|--------------------------|--------------------------|
| a. The report accurately reflected the examination findings as conveyed to you during the examination. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b. The report was easy to understand. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| c. The report accurately portrayed your institution's practices and condition. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| d. The transmittal letter and other written communications concerning the examination report were clear and concise. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| e. The report contained useful recommendations for improving safety and soundness practices. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| f. Recommendations to improve the examination report: | | | | | |
-
-
-

5. Overall

- | | Strongly disagree | Somewhat disagree | Neither agree nor disagree | Somewhat agree | Strongly agree |
|---|--------------------------|--------------------------|----------------------------|--------------------------|--------------------------|
| a. The examination findings will assist you in enhancing your safety and soundness practices. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b. The examination was conducted in a fair and objective manner. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| c. Other comments or recommendations: | | | | | |
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6. Optional: Would you like the FDIC Office of the Ombudsman to confidentially contact you about your institution's recent examination or any other matter?

Yes **No**

If yes, complete the information below and your survey will be forwarded to the FDIC Office of the Ombudsman.

Your name: _____
Telephone number: _____

The Office of the Ombudsman (1-877-ASK-FDIC (877-275-3342), Option 3) is available to confidentially discuss problems with, or concerns about, your examination. The Office of the Ombudsman's mission is: to be an effective, neutral, and confidential resource and liaison for the banking industry and the general public; to facilitate the resolution of problems and complaints in a fair, impartial and timely manner; to provide prompt, meaningful feedback; and to influence positive change at the FDIC.

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