

Large-Bank Deposit Insurance Determination Modernization (12 C.F.R. §360.9) Deliverables

Pre-Review

FDIC to Bank

- Blank Compliance Review Questionnaire
- Sample Provisional Hold Categorization Matrix
- Sample Qualitative Data Description Document

Bank to FDIC

- Completed Compliance Review Questionnaire
- Qualitative Data Description Document
- Provisional Hold Categorization Matrix
- Production Data Files from production environment as of a quarter-end date prior to the compliance review week (no provisional holds applied)

Review Week

FDIC to Bank

- Provisional Hold Memorandum (Monday morning)
- FDIC inbound files
- List of targeted accounts

Bank to FDIC

- Standardized Data Files, from a Test environment (provisional holds applied)
- Before and after screenshots, for accounts on the targeted list

Post Review

FDIC to Bank

- Issues Report
- Compliance Review Report

Bank to FDIC

- Bank response to items listed in the Issues Report
 - Bank's official written response to the Compliance Review Report
 - Confirmation data files to validate fixes if necessary
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