

**Exhibit 1**  
**Proposed 2021 FDIC Operating Budget**  
**By Major Expense Category and Budget Component**

**FDIC Summary**

<b>Ongoing Operations, excluding OIG</b>							
<b>Major Expense Category</b>	<b>Current 2020 Budget</b>	<b>Estimated 2020 Spending</b>	<b>Proposed 2021 Budget</b>	<b>Change From 2020 Estimate</b>		<b>Change From 2020 Budget</b>	
				<b>\$</b>	<b>%</b>	<b>\$</b>	<b>%</b>
Salaries & Compensation	\$1,272,830,400	\$1,254,799,422	\$1,354,676,113	\$99,876,691	8.0%	\$81,845,713	6.4%
Outside Services Personnel	311,371,095	300,718,670	376,970,126	76,251,456	25.4%	65,599,031	21.1%
Travel	78,301,145	24,945,027	62,069,657	37,124,630	148.8%	(16,231,488)	(20.7%)
Buildings & Leased Space	99,034,236	98,940,587	111,715,589	12,775,002	12.9%	12,681,353	12.8%
Equipment	106,542,698	106,654,659	121,918,230	15,263,571	14.3%	15,375,532	14.4%
Outside Services Other	15,916,924	15,660,045	16,164,215	504,170	3.2%	247,291	1.6%
Other Expenses	15,443,252	13,670,541	15,121,224	1,450,683	10.6%	(322,028)	(2.1%)
<b>Total</b>	<b>\$1,899,439,750</b>	<b>\$1,815,388,951</b>	<b>\$2,058,635,154</b>	<b>\$243,246,203</b>	<b>13.4%</b>	<b>\$159,195,404</b>	<b>8.4%</b>

  

<b>Receivership Funding</b>							
<b>Major Expense Category</b>	<b>Current 2020 Budget</b>	<b>Estimated 2020 Spending</b>	<b>Proposed 2021 Budget</b>	<b>Change From 2020 Estimate</b>		<b>Change From 2020 Budget</b>	
				<b>\$</b>	<b>%</b>	<b>\$</b>	<b>%</b>
Salaries & Compensation	\$765,107	\$1,379,263	\$1,008,389	(\$370,874)	(26.9%)	\$243,282	31.8%
Outside Services Personnel	69,300,299	59,302,902	168,364,422	109,061,520	183.9%	99,064,123	142.9%
Travel	1,030,258	882,147	793,164	(88,983)	(10.1%)	(237,094)	(23.0%)
Buildings & Leased Space	2,226,734	2,178,999	2,128,740	(50,259)	(2.3%)	(97,994)	(4.4%)
Equipment	8,000	8,000	1,273,510	1,265,510	15,818.9%	1,265,510	15,818.9%
Outside Services Other	571,069	376,070	178,567	(197,503)	(52.5%)	(392,502)	(68.7%)
Other Expenses	1,098,533	1,090,351	1,253,208	162,857	14.9%	154,675	14.1%
<b>Total</b>	<b>\$75,000,000</b>	<b>\$65,217,732</b>	<b>\$175,000,000</b>	<b>\$109,782,268</b>	<b>168.3%</b>	<b>\$100,000,000</b>	<b>133.3%</b>

  

<b>Office of the Inspector General</b>							
<b>Major Expense Category</b>	<b>Current 2020 Budget</b>	<b>Estimated 2020 Spending</b>	<b>Proposed 2021 Budget</b>	<b>Change From 2020 Estimate</b>		<b>Change From 2020 Budget</b>	
				<b>\$</b>	<b>%</b>	<b>\$</b>	<b>%</b>
Salaries & Compensation	\$37,032,940	\$35,796,416	\$36,602,597	\$806,181	2.3%	(\$430,343)	(1.2%)
Outside Services Personnel	2,399,953	2,241,045	3,857,344	1,616,299	72.1%	1,457,391	60.7%
Travel	1,035,250	774,461	1,235,250	460,789	59.5%	200,000	19.3%
Buildings & Leased Space	0	0	0				
Equipment	1,888,331	1,602,288	2,370,394	768,106	47.9%	482,063	25.5%
Outside Services Other	0	0	1,250	1,250		1,250	
Other Expenses	625,713	531,963	845,902	313,939	59.0%	220,189	35.2%
<b>Total</b>	<b>\$42,982,187</b>	<b>\$40,946,173</b>	<b>\$44,912,737</b>	<b>\$3,966,564</b>	<b>9.7%</b>	<b>\$1,930,550</b>	<b>4.5%</b>

  

<b>Total FDIC Operating Budget</b>							
<b>Major Expense Category</b>	<b>Current 2020 Budget</b>	<b>Estimated 2020 Spending</b>	<b>Proposed 2021 Budget</b>	<b>Change From 2020 Estimate</b>		<b>Change From 2020 Budget</b>	
				<b>\$</b>	<b>%</b>	<b>\$</b>	<b>%</b>
Salaries & Compensation	\$1,310,628,447	\$1,291,975,101	\$1,392,287,099	\$100,311,998	7.8%	\$81,658,652	6.2%
Outside Services Personnel	383,071,347	362,262,617	549,191,892	186,929,275	51.6%	166,120,545	43.4%
Travel	80,366,653	26,601,635	64,098,071	37,496,436	141.0%	(16,268,582)	(20.2%)
Buildings & Leased Space	101,260,970	101,119,586	113,844,329	12,724,743	12.6%	12,583,359	12.4%
Equipment	108,439,029	108,264,947	125,562,134	17,297,187	16.0%	17,123,105	15.8%
Outside Services Other	16,487,993	16,036,115	16,344,032	307,917	1.9%	(143,961)	(0.9%)
Other Expenses	17,167,498	15,292,855	17,220,334	1,927,479	12.6%	52,836	0.3%
<b>Total</b>	<b>\$2,017,421,937</b>	<b>\$1,921,552,856</b>	<b>\$2,278,547,891</b>	<b>\$356,995,035</b>	<b>18.6%</b>	<b>\$261,125,954</b>	<b>12.9%</b>

**Exhibit 2**  
**Proposed 2021 FDIC Operating Budget**  
*(Includes Ongoing Operations and Receivership Funding)*

Proposed Total Operating Budget by Division							
Division/Offices	Current 2020 Budget	Estimated 2020 Spending	Proposed 2021 Budget	Change From 2020 Estimate		Change From 2020 Budget	
				\$	%	\$	%
Division of Risk Management Supervision	\$546,947,746	\$507,460,468	\$590,073,870	\$82,613,402	16.3%	\$43,126,124	7.9%
Division of Depositor & Consumer Protection	185,002,773	171,125,933	184,506,352	13,380,419	7.8%	(496,421)	(0.3%)
Division of Complex Institution Supervision & Resolution	85,608,303	81,132,164	101,308,981	20,176,817	(75.1%)	15,700,678	18.3%
Division of Resolutions & Receiverships	147,248,946	144,447,822	130,773,074	(13,674,748)	(42.0%)	(16,475,872)	(45.8%)
Division of Insurance & Research	59,498,830	58,055,274	63,790,751	5,735,477	9.9%	4,291,921	7.2%
Division of Information Technology	319,914,151	318,188,259	357,514,313	39,326,054	12.4%	37,600,162	11.8%
Office of the Chief Information Security Officer	40,619,736	39,577,037	40,241,004	663,967	1.7%	(378,732)	(0.9%)
Division of Administration	273,690,564	267,448,631	297,245,820	29,797,189	11.1%	23,555,256	8.6%
Legal Division	158,928,830	147,731,953	163,220,177	15,488,224	10.5%	4,291,347	2.7%
Division of Finance	36,291,003	35,353,838	37,171,700	1,817,862	5.1%	880,697	2.4%
Corporate University	23,603,855	22,353,746	23,061,155	707,409	3.2%	(542,700)	(2.3%)
Corporate Employee Program	11,394,178	7,152,310	0	(7,152,310)	(100.0%)	(11,394,178)	(100.0%)
Office of Minority & Women Inclusion	8,271,823	7,859,840	9,442,241	1,582,401	20.1%	1,170,418	14.1%
Office of Communications	7,561,313	6,988,762	7,791,764	803,002	11.5%	230,451	3.0%
Office of Risk Management & Internal Controls	6,647,708	6,411,216	6,919,528	508,312	7.9%	271,820	4.1%
FDIC Tech Lab	5,325,590	1,382,047	5,333,920	3,951,873	285.9%	8,330	0.2%
Office of the Ombudsman	4,873,381	4,805,953	5,145,939	339,986	(72.9%)	272,558	(67.7%)
Office of Legislative Affairs	3,535,236	3,502,599	3,643,133	140,534	4.0%	107,897	3.1%
Office of Financial Institution Adjudication	775,663	720,280	828,740	108,460	15.1%	53,077	6.8%
Executive Offices	13,079,054	12,787,484	13,142,807	355,323	2.8%	63,753	0.5%
Corporate Unassigned	35,621,067	36,121,067	192,479,885	156,358,818	854.3%	156,858,818	440.4%
Total excluding OIG	1,974,439,750	1,880,606,683	2,233,635,154	353,028,471	18.8%	259,195,404	13.1%
Office of the Inspector General	42,982,187	40,946,173	44,912,737	3,966,564	9.7%	1,930,550	4.5%
<b>Total</b>	<b>\$2,017,421,937</b>	<b>\$1,921,552,856</b>	<b>\$2,278,547,891</b>	<b>\$356,995,035</b>	<b>18.6%</b>	<b>\$261,125,954</b>	<b>12.9%</b>

Note: Amounts for the Division of Finance (DOF) and the Office of Risk Management and Internal Controls (RMC) are shown on a pro forma basis, representing the creation of RMC retroactive to January 1, 2020. RMC will become an organization separate from DOF in January 2021.

**Exhibit 3**  
**Proposed 2021 FDIC Operating Budget**  
*(Ongoing Operations Only)*

Proposed Total Ongoing Operations Budget by Division							
Division/Offices	Current 2020 Budget	Estimated 2020 Spending	Proposed 2021 Budget	Change From 2020 Estimate		Change From 2020 Budget	
				\$	%	\$	%
Division of Risk Management Supervision	\$546,947,746	\$507,458,013	\$590,073,870	\$82,615,857	16.3%	\$43,126,124	7.9%
Division of Depositor & Consumer Protection	185,002,773	171,125,933	184,506,352	13,380,419	7.8%	(496,421)	(0.3%)
Division of Complex Institution Supervision & Resolution	85,608,303	81,103,668	101,308,981	20,205,313	24.9%	15,700,678	18.3%
Division of Resolutions & Receiverships	121,725,207	119,918,825	115,670,908	(4,247,917)	(3.5%)	(6,054,299)	(5.0%)
Division of Insurance & Research	59,498,830	58,055,274	63,790,751	5,735,477	9.9%	4,291,921	7.2%
Division of Information Technology	319,914,151	318,187,232	348,612,261	30,425,029	9.6%	28,698,110	9.0%
Office of the Chief Information Security Officer	40,619,736	39,577,037	40,241,004	663,967	1.7%	(378,732)	(0.9%)
Division of Administration	269,702,891	263,507,278	293,100,750	29,593,472	11.2%	23,397,859	8.7%
Legal Division	132,367,162	129,946,668	138,851,850	8,905,182	6.9%	6,484,688	4.9%
Division of Finance	36,291,003	35,353,838	37,171,700	1,817,862	5.1%	880,697	2.4%
Corporate University	23,603,855	22,353,746	23,061,155	707,409	3.2%	(542,700)	(2.3%)
Corporate Employee Program	11,394,178	7,152,310	0	(7,152,310)	(100.0%)	(11,394,178)	(100.0%)
Office of Minority & Women Inclusion	8,271,823	7,859,840	9,442,241	1,582,401	20.1%	1,170,418	14.1%
Office of Communications	7,561,313	6,988,762	7,791,764	803,002	11.5%	230,451	3.0%
Office of Risk Management & Internal Controls	6,647,708	6,411,216	6,919,528	508,312	7.9%	271,820	4.1%
FDIC Tech Lab	5,325,590	1,382,047	5,333,920	3,951,873	285.9%	8,330	0.2%
Office of the Ombudsman	4,863,976	4,793,349	5,143,439	350,090	7.3%	279,463	5.7%
Office of Legislative Affairs	3,535,236	3,502,599	3,643,133	140,534	4.0%	107,897	3.1%
Office of Financial Institution Adjudication	775,663	720,280	828,740	108,460	15.1%	53,077	6.8%
Executive Offices	13,079,054	12,787,484	13,142,807	355,323	2.8%	63,753	0.5%
Corporate Unassigned	16,703,552	17,203,552	70,000,000	52,796,448	306.9%	53,296,448	319.1%
Total excluding OIG	1,899,439,750	1,815,388,951	2,058,635,154	243,246,203	13.4%	159,195,404	8.4%
Office of the Inspector General	42,982,187	40,946,173	44,912,737	3,966,564	9.7%	1,930,550	4.5%
<b>Total</b>	<b>\$1,942,421,937</b>	<b>\$1,856,335,124</b>	<b>\$2,103,547,891</b>	<b>\$247,212,767</b>	<b>13.3%</b>	<b>\$161,125,954</b>	<b>8.3%</b>

Note: Amounts for the Division of Finance (DOF) and the Office of Risk Management and Internal Controls (RMC) are shown on a pro forma basis, representing the creation of RMC retroactive to January 1, 2020. RMC will become an organization separate from DOF in January 2021.

**Exhibit 4**  
**Proposed 2021 FDIC Operating Budget**  
*(Receivership Funding Only)*

Proposed Total Receivership Funding Budget by Division							
Division/Offices	Current 2020 Budget	Estimated 2020 Spending	Proposed 2021 Budget	Change From 2020 Estimate		Change From 2020 Budget	
				\$	%	\$	%
Division of Risk Management Supervision	\$0	\$2,455	\$0	(\$2,455)	(100.0%)	\$0	
Division of Depositor & Consumer Protection	0	0	0	0		0	
Division of Complex Institution Supervision & Resolution	0	28,496	0	(28,496)	(100.0%)	0	
Division of Resolutions & Receiverships	25,523,739	24,528,997	15,102,166	(9,426,831)	(38.4%)	(10,421,573)	(40.8%)
Division of Insurance & Research	0	0	0	0		0	
Division of Information Technology	0	1,027	8,902,052	8,901,025	> 1000%	8,902,052	
Office of the Chief Information Security Officer	0	0	0	0		0	
Division of Administration	3,987,673	3,941,353	4,145,070	203,717	5.2%	157,397	3.9%
Legal Division	26,561,668	17,785,285	24,368,327	6,583,042	37.0%	(2,193,341)	(8.3%)
Division of Finance	0	0	0	0		0	
Corporate University	0	0	0	0		0	
Corporate Employee Program	0	0	0	0		0	
Office of Minority & Women Inclusion	0	0	0	0		0	
Office of Communications	0	0	0	0		0	
Office of Risk Management & Internal Controls	0	0	0	0		0	
FDIC Tech Lab	0	0	0	0		0	
Office of the Ombudsman	9,405	12,604	2,500	(10,104)	(80.2%)	(6,905)	(73.4%)
Office of Legislative Affairs	0	0	0	0		0	
Office of Financial Institution Adjudication	0	0	0	0		0	
Executive Offices	0	0	0	0		0	
Corporate Unassigned	18,917,515	18,917,515	122,479,885	103,562,370	547.4%	103,562,370	547.4%
Total excluding OIG	75,000,000	65,217,732	175,000,000	109,782,268	168.3%	100,000,000	133.3%
Office of the Inspector General	0	0	0	0		0	
<b>Total</b>	<b>\$75,000,000</b>	<b>\$65,217,732</b>	<b>\$175,000,000</b>	<b>\$109,782,268</b>	<b>168.3%</b>	<b>\$100,000,000</b>	<b>133.3%</b>

Note: Amounts for the Division of Finance (DOF) and the Office of Risk Management and Internal Controls (RMC) are shown on a pro forma basis, representing the creation of RMC retroactive to January 1, 2020. RMC will become an organization separate from DOF in January 2021.

**Exhibit 5  
Proposed 2021 Staffing Authorization by Division**

Division/Office	Original 2020 Authorization			Current 2020 Authorization			Onboard* (as of October 31, 2020)			Proposed 2021 Authorization			Difference 2021 Versus Current		
	Perm	Non-Perm	Total	Perm	Non-Perm	Total	Perm	Non-Perm	Total	Perm	Non-Perm	Total	Perm	Non-Perm	Total
Division of Risk Management Supervision	2,398	0	2,398	2,364	0	2,364	2,543	2	2,545	2,349	25	2,374	(15)	25	10
Division of Depositor & Consumer Protection	782	5	787	782	5	787	826	0	826	784	5	789	2	0	2
Division of Complex Institution Supervision & Resolution	275	0	275	273	0	273	247	1	248	314	2	316	41	2	43
Division of Resolutions & Receiverships	350	0	350	357	0	357	330	0	330	355	0	355	(2)	0	(2)
Division of Insurance & Research	225	0	225	225	0	225	190	18	208	224	0	224	(1)	0	(1)
Division of Information Technology	319	0	319	321	0	321	298	0	298	325	0	325	4	0	4
Office of the Chief Information Security Officer	48	0	48	48	0	48	48	0	48	48	0	48	0	0	0
Division of Administration	384	0	384	382	0	382	369	0	369	383	0	383	1	0	1
Legal Division	483	0	483	483	0	483	427	6	433	480	0	480	(3)	0	(3)
Division of Finance	153	0	153	153	0	153	133	0	133	153	0	153	0	0	0
Corporate University	66	0	66	66	0	66	71	0	71	66	0	66	0	0	0
Corporate Employee Program	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Office of Minority & Women Inclusion	27	0	27	28	0	28	25	0	25	28	0	28	0	0	0
Office of Communications	15	0	15	15	0	15	12	0	12	19	0	19	4	0	4
Office of Risk Management & Internal Controls	23	0	23	23	0	23	20	0	20	23	0	23	0	0	0
FDIC Tech Lab	2	0	2	2	0	2	0	0	0	2	0	2	0	0	0
Office of the Ombudsman	15	0	15	15	0	15	15	0	15	15	0	15	0	0	0
Office of Legislative Affairs	12	0	12	12	0	12	12	0	12	12	0	12	0	0	0
Office of Financial Institution Adjudication	2	0	2	2	0	2	3	0	3	2	0	2	0	0	0
Executive Offices	27	0	27	28	0	28	23	2	25	28	0	28	0	0	0
Total Excluding OIG	5,606	5	5,611	5,579	5	5,584	5,591	29	5,620	5,610	32	5,642	31	27	58
Office of the Inspector General	144	0	144	144	0	144	130	1	131	146	5	151	2	5	7
<b>Total</b>	<b>5,750</b>	<b>5</b>	<b>5,755</b>	<b>5,723</b>	<b>5</b>	<b>5,728</b>	<b>5,721</b>	<b>30</b>	<b>5,751</b>	<b>5,756</b>	<b>37</b>	<b>5,793</b>	<b>33</b>	<b>32</b>	<b>65</b>

\*Some organizations were granted permission in 2020 to temporarily exceed their staffing authorizations to fill critical skill gaps, enhance their readiness to respond to potential economic deterioration within the banking industry resulting from the pandemic, or address succession management concerns. Funds have been budgeted to cover the salary and benefits costs of those "overhires." In all cases, those organizations are required to return to authorized staffing levels within a reasonable timeframe.

Note: Amounts for the Division of Finance (DOF) and the Office of Risk Management and Internal Controls (RMC) are shown on a pro forma basis, representing the creation of RMC retroactive to January 1, 2020. RMC will become an organization separate from DOF in January 2021.

**Exhibit 6**  
**Proposed 2021 Corporate Operating Budget by Program**

<b>Program</b>	<b>Amount</b>	<b>Percent</b>
Supervision	\$1,180,533,860	51.8%
Insurance	\$373,070,791	16.4%
Receivership Management	\$421,553,061	18.5%
Corporate (General & Administrative) Expenses	\$303,390,179	13.3%
<b>Total</b>	<b>\$2,278,547,891</b>	<b>100.0%</b>