



## Annual Testing Requirements

On September 11, 2009 the FDIC published the Large Bank Deposit Insurance Determination Modernization Detailed Self-Assessment Plan (Plan). The Plan provides instructions related to testing and documenting the various Rule requirements. Testing documentation should be maintained at the Covered Institution (CI) and will be reviewed by the FDIC during on-site visitations which will be conducted from time to time. The Plan also contains an Official Attestation Memorandum (Appendix 6) template. Both the Official Attestation Memorandum and testing documentation materials are to be completed on or before May 31, 2010. The completed Memorandum should be sent to the FDIC.

If a CI's structure and operations remain relatively constant from year to year additional testing every year will not be required. CIs undergoing significant changes which could affect the provisional hold and data extract processes may be asked to re-test. The financial stability of an institution may also affect the frequency of required testing. Technology Service Providers supplying deposit processing solutions for CIs should routinely test the Rule's functionality as part of periodic application releases.

Effective January 1, 2011 all CIs are required to complete and file an Annual Testing Questionnaire supplying answers to various questions that will provide insights relative to the past year's events that would affect the CI's state of compliance with the Rule. The first Questionnaire will be due to the FDIC by March 31, 2011 with subsequent yearly filings due on the same date of each year. The FDIC will review the filings and contact institutions communicating the review results.

The annual filing form provided below can also be found separately on this site. This form lists several questions, the answers to which will be used to determine the need for updated testing. Each institution will be assessed individually based upon the data supplied and notified of the results.

**Federal Deposit Insurance Corporation  
 Large-Bank Deposit Insurance Determination Modernization  
 Annual Testing Questionnaire  
 For Test Year – 2010 (1/1/2010 – 12/31/2010)\***

Covered Institution Name		
FDIC Certificate Number		
Primary Contact		
Phone		
E-mail		
Headquarters' City, State		
Date of Last Rule Test		
	Yes/No	Explanation for "Yes" answers
1. Does the CI operate in-house deposit systems maintained by bank staff?		
2. Does the CI use a Technology Service Provider (TSP) platform for deposit processing? If "Yes", name the Provider and platform name.		
3. Did the CI change TSPs or TSP deposit platforms during the year?		
4. Did the CI merge with another financial institution during the year?		
5. Did the CI acquire one or more financial institutions during the year?		
6. Did the CI introduce new deposit products that have not been tested for compliance with the Rule?		
7. Did the CI introduce a new sweep investment product involving the transfer of funds from a deposit account to a non-deposit investment vehicle that has not been tested for compliance with the Rule?		

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**Covered Institution Representative Name & Title**

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**Signature** \_\_\_\_\_ **Date** \_\_\_\_\_

\* Insert appropriate testing year information