

**Meeting Between Staff of the Federal Deposit Insurance Corporation  
and Representatives from UBS**

**November 16, 2023**

**Participants:**

Ben Bosco, Andrew Carayiannis, Amy Beck, Lauren Brown, Adam Drais (Federal Deposit Insurance Corporation)

Jaci Brenchley, James Coffman, Darryll Hendricks, Jake Hawkins, Kevin Clarke, Sherilyn Olsen, Jeff Samuel, Amy Fainsbert, Charlene Barnsdale, Tim Handley, Peter Mozer, Sasha Lewis, Felix Matschke, Stefania Stan, Sandy Yeung, Peter Bruzzese, Johan Van Duyvendijk, Plamen Entchev, Ali Mirza (UBS)

Carrie Trujillo (Utah Department of Financial Institutions)

**Summary:** Staff of the Federal Deposit Insurance Corporation met with representatives from UBS regarding the interagency Notice of Proposed Rulemaking on Regulatory Capital Rule: Large Banking Organizations and Banking Organizations With Significant Trading Activity (FDIC RIN 3064–AF29) (the “NPR”), which was published in the Federal Register on September 18, 2023 (88 FR 64028). Staff from the Utah Department of Financial Institutions were also present at the meeting. The UBS representatives discussed the impact of the NPR on UBS.