

II. Compliance Examinations — Investigations and Visitations

- Use topical headings, such as those used to prepare Page 1 comments for the Compliance Report of Examination.
2. Prepare Violations Pages (if applicable).
 3. Prepare Supervisory Comments (Page A,— Supervisory Section) (optional)
 - Include recommendation to the Regional Office on whether to remove or retain reporting requirements contained within outstanding formal or informal enforcement actions (if not included on Page 1).
 4. Forward the Report of Visitation to the review staff designated by Regional Office management.
 5. Update all appropriate SOURCE data fields, and ensure that all SOURCE submission requirements are met.
 6. Regional review staff will review the Report of Visitation. At the discretion of the Regional Office management,

visitation findings will be forwarded to the financial institution by either of the following:

- Transmittal letter only; or
- Transmittal letter and Report of Visitation.

Documenting Visitation Findings

Appropriate workpapers must be completed for applicable areas reviewed during each visitation.

References

DSC RD Memo 03-008: Information Package for De Novo Banks

<http://fdic01/division/dsc/memos/memos/6000/03-008.pdf>